

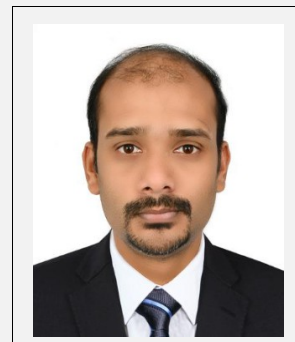
# Sreejith Sreenivasan

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📍 Electra street Abu Dhabi



*Mid-Level Assignments in Financial Crime Compliance / Sanctions screening / KYC Documentation/ Quality AML Investigator with an organization of repute.*

## PROFILE SUMMARY

Analytical professional with overall 6 Plus Years of experience in the following areas:

~ Remittance/ FCY /DRIC Analysis

~ Risk Assessment

~Corporate Client On boarding

~ AML Name Screening

~ Correspondent Bank Inquiry

~AML- CDD/ EDD Review

~ Transactions Monitoring

~ STR/SAR Reporting

~ Fraud Prevention and reporting

Completion of Course Duration "09 Hours": - Advanced Anti-Money Laundering & Counter Terrorism Financing training from Allied Compliance Consultants at our office premises .

## ORGANIZATIONAL EXPERIENCE

Since Feb 2016- Till Today working with Al Fardan Exchange L L C, Abu Dhabi (UAE) as a Branch Compliance Officer / Service supervisor.

### Key Result Areas: -

KYC /AML - CDD/EDD Reviews / Remittance - Inward and Outward Transactions / Foreign Currency Exchanges at Branch Level.

- Responsible for all compliance AML related affairs, Inquiries, documentations, implementation of best AML practices and strengthens the overall compliance aspects as per Internal AML Policy at branch level.
- Collecting required documents for new WPS, New Corporate entities in order to Register with Al Fardan and maintained customer on boarding process, CDD, EDD as per CBUAE AML Policy.
- Complete Verification of all FCY transactions in line with CBUAE Policy.
- Verification of DRIC form for Foreign currency value in line with CBUAE Policy.
- Ensure that the ID/ voucher / supporting documents requirement from AML department are being met on time.
- To Perform Risk Assessment to on-board Politically Exposed Persons (PEP) customers and Submit required report to Compliance Department to take approval.
- Responsible while auditing SDN Sanction screening / Travelez Prepaid Card Screening, Responding
- Analyzing customer transaction trends through surveillance and enhanced Monitoring procedure and identifying potentially suspicious transaction and reporting AML Department.
- Approval has been taken for any PEP related transaction from compliance department.
- Employees attended any AML related training Internally as per Afex Policy.
- Complete Verification of all High value OPO transactions in line with CBUAE and as per our internal AML policy.
- Suspicious activity observed and reported to AML department without any delay.
- Due diligence conducted for IPO/OPO transactions on monthly basis and ensure all transactions are being processed as per AMLPP.
- Identify suspicious cases based on due diligence conducted and file STR/SAR report if required and report same to the Reporting Manager

## TECHNICAL QUALIFICATION

- MS Office (Word, Excel and PowerPoint) (2003/2007/2010 Versions) & Internet Applications
- Windows 2000 – Windows XP, Vista and Windows 7, Windows 10
- Certificate of Best Performer from Al Fardan Exchange LLC on 2018,2019
- Certificate course in financial accounting
- Tally ERP 9

## ACADEMIC DETAILS

- Graduation of Master of Business Administration – MBA in Finance Sikkim Manipal university (doing)
- Bachelor Degree in Commerce from Calicut university , Kerala -2009 - 2012
- Pre University Completed from MASM Higher Secondary school -2006 – 2008

## STRENGTHS

- Strong communication skills, Goal Oriented, Flexible and Focused also has the ability to lead a team of workforce and finish a given task on time.

## HOBBIES

- Playing Musical Instruments, Cooking, Swimming and Traveling.

## PERSONAL DETAILS

- Date of Birth : 12<sup>th</sup> March 1991
- Languages Known : English, Hindi, Malayalam, Tamil
- Marital Status : Married
- Nationality : Indian
- Visa Status : Employment Visa
- Grace Period : 1 Month

### DECLARATION:

I hereby declare that the details furnished above are true and correct to the best of my knowledge.

Place: Abu Dhabi, UAE

Sreejith Sreenivasan