



## KEVIN EDISON

**PROFESSION : COMPLIANCE OFFICER**

**INDUSTRY PREFERRED : BANKING, FINANCIAL INSTITUTION**

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kevinedison808@gmail.com

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Dubai, UAE

### **AREA OF EXPERTISE:**

- Policy & Procedure, Internal Controls.
- KYC- Client On boarding, Due Diligence/ EDD
- AML -Investigations.
- Data Collection & Analysis.
- Transaction Monitoring.
- Sanctions & Negative News
- Screening.
- STR/ISTR Reporting.
- Case Management.
- Team Management.
- Training & Development.
- Regulatory Compliance.

### **IT SKILLS:**

- Very Proficient in MS Office packages including EXCEL, Power Point and MS Word
- Easy and Fast learner of any ERP and software packages
- Completed the training in SAP R/3 ERP, module FI-CO (Financial Accounting)

**PROFILE:** Anti-Money Laundering Professional with remarkable experience under high- trading activity environment with leading financial organizations in UAE , with proven track record of helping to lead companies by ensuring that they are functioning in complete compliance with all national & international rules and regulations with the below demonstrated skill sets

Currently works as a Compliance Officer for a leading Exchange house in UAE, as well as pursuing Associate of Certified Anti Money Laundering Specialist, ACAMS

### **CAREER HISTOY**

#### **Company Name: Al Fardan Exchange**

(From April 2022 to Present)



#### **Designation: Compliance Officer**

#### **Duties and Responsibilities:**

- Provide assistance in execution and implementation of the regulations issued by the Central Bank of The U.A.E. and company's internal policies and procedures throughout the organization
- Sanction screening for daily Remittance, Incoming Transactions, FCY transactions and New Customer Onboarding's.
- On daily basis, monitor the transactions as highlighted in the reports and Identifying Potential STR if any.
- Review the STR's raised by the branch and further raise it to the CCO if found suspicious
- Assistance in obtain documentary proof of suspicious transactions and ensure effective record keeping of suspicious transaction identified and report to the CCO and further to CBUAE
- Familiarize with the training functions to the staff - induction & refresher training program
- Reports for regulatory filing and reporting to CBUAE portals of Daily Remittance, GOAML and ERF.
- Reply for IEMS and other Regulatory search and freeze enquiries, Prohibition Notices and updating the same in internal Black list Master
- Addressing various inquiries from governmental departments, police and regulatory authorities
- Ensuring of Customer Enhanced Due Diligence review and risk assessment of new PEP Onboarding's and Raising PEP approval with CCO.
- Ensuring on Conducting Annual Customer Due Diligence review on all the existing PEP Customer Database.
- Transactional and related parties screening with using various screening tools like World Check, Kharon and open google search.
- Coordinating with correspondent banks for queries or other compliance related issues.
- Reviewing KYC of Individual and Corporate and visit the location of Corporate Clients those are requested by the Sales team for registration and approve upon completion of Due Diligence

## Software Skills:

REFINITIV WORLDCHECK

Kharon

CASMEX / ISHIELD

CB UAE PORTAL

## Languages Known:

ENGLISH

HINDI

MALAYALAM

TAMIL

 Communicator	 Team Player & Fine Researcher
 Self-motivated Problem Solver	 Able to Work under Pressure
 Proactive with Intrinsic Drive	 Positive & Flexible Nature

## Personal Details:

Name : Kevin Edison

DOB : SEP 1991

Nationality : Indian

Gender : Male

Religion : Christian

Marital Status: Married

Mobile no : +971524166030

Email: [kevinedison808@gmail.com](mailto:kevinedison808@gmail.com)

- Identification of gaps and mitigation plans, Review and recommend changes in Compliance systems i.e., AML monitoring system or screening system.
- Periodic Risk assessment
- Familiarized with record keeping & updating records in compliance department
- Arrange for meeting with BCO's at regular interval and immediately if any urgent changes in the AML policies of our company or any special instructions from CBUAE
- Providing ongoing support to maintain and uphold the compliance program
- Coordinate with Internal and External auditors for the independent audit
- Assisting MLRO for assigned duties & for all regulatory requirements & standards establishment

### Company Name: Mawarid Exchange

Designation: Junior Compliance Officer

(January 2019 to March 2022)



### Company Name: Al Ghurair Exchange

Designation: Branch MLRO

(December 2017 to December 2018)



### Company Name: Redha Al-Ansari Exchange

Designation: TRANSFER CLERK

(June 2015 to Nov 2017)



### Company Name: MUTHOOT FINCORP LTD, India

Designation: CUSTOMER SERVICE EXECUTIVE

(From- April 2013 to April 2015)



## Academic and Qualifications

- **SAP R/3 ERP, module FI-CO (Financial Accounting) 2013**
- **Bachelor of Commerce 2012**  
(Specialized in Finance. Calicut University – Kerala, India)

## DECLARATION

I do hereby declare that the particulars of this information and facts stated herein above are true correct and complete to the best of my knowledge and belief.

**KEVIN EDISON**