Rochelle Pereira

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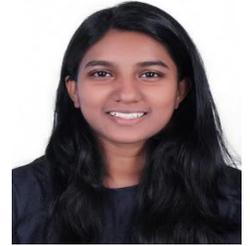
CAREER OBJECTIVE

A passionate risk professional with 5 plus years of experience in leading Risk Management and Operations functions for global investment bank. My experience has helped me gain expertise in developing and benchmarking key risk indicators (KRIs), reviewing risk incidents, producing risk metrics, business continuity management and dashboards. My key value proposition is striving to improve efficiencies by focusing on value-addition and reducing redundant processes

Key Skills

- Risk Assessment and Analysis
- Detail – oriented
- Stakeholder Management
- Problem Solving
- Effective Communication
- Adaptability
- Project Management

Software Knowledge

- IBM Cognos
- IBM Open Pages
- Microsoft Excel
- Microsoft Word
- Qlikview
- SAP SQL

Experience

Associate (Risk Manager) – Investment Management Operations| April 2022 – February 2024

Morgan Stanley | Mumbai | India

- Managing the risk identification and escalation process
- Operational risk management – risk monitoring, tracking, reporting and mitigation
- Preparing reports using Qlikview
- Providing periodic functional risk assessments and reporting to senior stakeholders
- Review of resource entitlements (Segregation of Duties)
- Review internal risk incidents and liaising with various functional lines to ensure complete and accurate information is captured including what went wrong, impact types, control failures and action plans, along with logging, monitoring and closing the issue and action plans
- Review and managing the recovery resolution planning inventory as per the rules and regulation of Federal Reserve
- Working with the line team on developing and monitoring key risk indicators

- Business continuity planning and testing
- Reviewing information security incidents
- Liaising of Audits (Internal, SSAE 18 and SOX), external assessments and regulatory exams
- Facilitated Risk Control Self-Assessments (RCSA)
- Managed and coordinated global/regional risk projects and initiatives (Creating depth chart for Eaton Vance team, payment governance)

Temporary Resource to Associate – Operational Risk Reporting Governance | August 2018 – March 2022

Morgan Stanley | Mumbai | India
- Preparing risk dashboard and reports for senior management
- Preparing reports using IBM Cognos tool, SAP SQL & Qlikview
- Supporting operational risk governance and reporting including internal incidents, issues and action plans
- Review internal risk incidents and liaising with various functional lines to ensure complete and accurate information is captured including what went wrong, impact types, control failures and action plans
- Developing risk and control framework and best practices
- Providing management updates to operation management on risk program initiatives
- Managed and coordinated global/regional risk projects with operational risk community
- Review and update the desktop procedure and written supervisory procedures
- Worked on Adhoc reports (FOCUS and Recovery Resolution Planning)
- Review of Swap Dealer (Legal entity report) and Federal Reserve Bank report
- Worked on streamlining and automating New Product Approval for Consolidated Operational Risk Reporting
- Transitioned work from EMEA (New Product Approval Reporting)
- Preparing board deck, EMEA and GORR slides for senior management

Chat Assistant | May 2016 – September 2017

Haptik Infotech Pvt Ltd | Mumbai | India
- Managed and addressed a high volume of inbound customer chat, ensuring prompt and effective resolution of inquiries, complaints and requests
- Consistently achieved or exceeded customer satisfaction targets by providing exceptional service and support in travel information and bookings

Education

Mithibai College | 2018
Masters of Arts - Economics
Mumbai University

ST. Andrews College | 2016
Bachelor of Arts - Economics
Mumbai University

Personal Profile

Date of Birth : 20th May 1996
Nationality : Indian
Languages Known : English, Hindi, Marathi, Konkani
Hobbies : Reading, Travelling and Dancing
Visa Status : Visit Visa (Oct 5th last date)